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Attorney Docket No.: MXIC 1514-1

Technology Center 2600  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2

In re Application	
Inventor(s):	Chang-Lun Chen et al.
Appl. No.:	10/090,176
Confirm. No.:	4736
Filed:	March 4, 2002
Title:	METHOD AND APPARATUS FOR BRIDGING DIFFERENT VIDEO FORMATS
Art Unit:	2614
Examiner:	Unknown
<u>Customer No. 22470</u>	

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Commissioner of Patents  
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).
- ☐ ***PTA Statement under 37 C.F.R. §704(d).*** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

*This statement should be considered because:*

☒ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

☐ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ☐ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --
- ☐ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

☐ **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

HAYNES BEFFEL & WOLFELD LLP

Date:

19 June 02

By:

Ernest J. Beffel, Reg. No. 43,489

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Please type a plus sign (+) inside this box

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/090,176
				Filing Date	March 4, 2002
				First Named Inventor	Chang-Lun Chen
				Group Art Unit	2614
				Examiner Name	Unknown
Sheet	1	of	4	Attorney Docket Number	MXIC 1514-1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	4,532,602		DuVall	07-30-1985	
	A2	4,546,349		Prohofsky et al.	10-08-1985	
	A3	4,589,029		Torimaru et al.	05-13-1986	
	A4	4,604,651		Frencken et al.	08-05-1986	
	A5	4,746,981		Nadan et al.	05-24-1988	
	A6	4,821,031		Roberts	04-11-1989	
	A7	4,891,702		Nakayama et al.	01-02-1990	
	A8	4,914,507		Smith et al.	04-03-1990	
	A9	4,952,923		Tamura	08-28-1990	
	A10	5,001,697		Torres	03-19-1991	
	A11	5,122,789		Ito	06-16-1992	
	A12	5,138,448		Gillies et al.	08-11-1992	
	A13	5,170,256		Tabata	12-08-1992	
	A14	5,243,433		Hailey	09-07-1993	
	A15	5,253,064		Yamamoto et al.	10-12-1993	
	A16	5,257,103		Vogelely et al.	10-26-1993	
	A17	5,331,346		Shields et al.	07-19-1994	
	A18	5,406,308		Shiki	04-11-1995	
	A19	5,410,357		Rieger et al.	04-25-1995	
	A20	5,444,495		Takahama et al.	08-22-1995	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	EP	0 479 508	A2	Kato	04-08-1992		✓
	B2	EP	0 534 220	A2	Katsumata et al.	03-31-1993		✓
	B3	EP	0 865 020	A2	Eglit	09-16-1998		✓
	B4	EP	0 865 020	A3	Eglit	05-12-1999		✓
	B5	JP	5241510	A2	Sugawara	09-21-1993		✓
	B6	JP	8279947	A2	Kameyama	10-22-1996		✓
	B7	JP	10334227	A2	Eglit	12-18-1998		✓
	B8	JP	1-241745			09-26-1989		
	B9	JP	63020847	A2	Suzuki et al.	01-28-1988		✓
	B10	JP	1241745	A2	Saito	09-26-1989		✓

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet	2	of	4
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**Complete if Known**

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<b>Filing Date</b>	March 4, 2002
<b>First Named Inventor</b>	Chang-Lun Chen
<b>Group Art Unit</b>	2614
<b>Examiner Name</b>	Unknown
<b>Attorney Docket Number</b>	MXIC 1514-1

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	A21	5,459,520		Sasaki	10-17-1995	
	A22	5,459,521		Usami	10-17-1995	
	A23	5,469,223		Kimura	11-21-1995	
	A24	5,473,381		Lee	12-05-1995	
	A25	5,528,305		Kim	06-18-1996	
	A26	5,534,934		Katsumata et al.	07-09-1996	
	A27	5,534,936		Kim	07-09-1996	
	A28	5,555,027		Takeuchi	09-10-1996	
	A29	5,600,347		Thompson et al.	02-04-1997	
	A30	5,610,942		Chen et al.	03-11-1997	
	A31	5,642,168		Masaki	06-24-1997	
	A32	5,739,867		Eglit	04-14-1998	
	A33	5,828,415		Keating et al.	10-27-1998	
	A34	5,850,227		Longhenry et al.	12-15-1998	
	A35	5,905,536		Morton et al.	05-18-1999	
	A36	5,912,710		Fujimoto	06-15-1999	
	A37	5,914,753		Donovan	06-22-1999	
	A38	6,002,446		Eglit	12-14-1999	
	A39	6,147,668		Eglit	11-14-2000	
	A40	6,151,079		Nagata et al.	11-21-2000	

[illegible]

Examiner  
Signature

Date	
Considered	

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

4

of

4

**Complete if Known**

Application Number	10/090,176
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Filing Date	March 4, 2002
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First Named Inventor	Chang-Lun Chen
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Group Art Unit	2614
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Examiner Name	Unknown
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Attorney Docket Number	MXIC 1514-1
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## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
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